## **Brothers, Sheila C**

From: Farrell III, Herman D

Sent: Wednesday, March 28, 2012 8:14 PM

To: Brothers, Sheila C

Cc: Debski, Elizabeth A; Ederington, Josh; Effgen, Susan K; Geddes, James; Jasper, Samuel J;

Kilgore, Michael W; Larson, Susan; Lee, Brian D; Kingsolver, Ann E; Swanson, Hollie Subject: RE: New Cmte Item (SAOSC)\_Proposal to Move Appalachian Center from VPR to AS

On Monday, March 19, 2012, the SAOSC voted unanimously (6-0) to recommend acceptance of the proposal to Move the Appalachian Center from the Vice President for Research to the College of Arts & Sciences. The committee met with Ann Kingsolver, Director of the Appalachian Center, and Dean Mark Korhbluh and received written responses to questions regarding the proposal. I will forward to you copies of the responses in a separate email.

Herman Farrell, Chair, SAOSC

From: Brothers, Sheila C

Sent: Friday, January 27, 2012 3:35 PM

To: Farrell III, Herman D

Cc: Debski, Elizabeth A; Ederington, Josh; Effgen, Susan K; Geddes, James; Jasper, Samuel J;

Kilgore, Michael W; Larson, Susan; Lee, Brian D; Kingsolver, Ann E; Swanson, Hollie Subject: New Cmte Item (SAOSC)\_Proposal to Move Appalachian Center from VPR to AS

Good afternoon, Herman. There is a new item ready for review by the Senate's Academic Organization and Structure Committee (SAOSC), to transfer the Appalachian Center from area of the Vice President for Research to the College of Arts and Sciences. The proposal is attached here and can also be found at

http://www.uky.edu/Faculty/Senate/committees\_councils/standing\_committees/academic\_organizati
on\_structure.htm.

Ann Kingsolver is your contact for this proposal.

This is tentatively scheduled to be reviewed by the Senate Council on Monday, February 27, and by the Senate on Monday, March 19. Therefore, I'll need the determination of your committee no later than Tuesday, February 21. If this proposal requires a longer review period, please let me know and I will adjust the agenda scheduling.

If you have any questions or requests, please don't hesitate to let me know.

Thank you, Sheila

## Sheila Brothers

Staff Representative to the Board of Trustees Office of the Senate Council 203E Main Building, -0032 Phone (859) 257-5872 <a href="http://www.uky.edu/faculty/senate">http://www.uky.edu/faculty/senate</a>

## **Brothers, Sheila C**

From: Subbaswamy, Kumble

**Sent:** Monday, February 20, 2012 12:25 PM

To: Swanson, Hollie

**Cc:** Hobson, Kris; Kornbluh, Mark; Brothers, Sheila C; Tracy, James W (VP Research)

Subject: Appalachian Research Center

#### Dear Hollie:

This is to certify that I strongly support the move of the Appalachian Research Center from the VPR's office to the College of Arts & Sciences. Based on various inputs (including a review of the Appalachian Research Center), I have concluded that placing it in proximate administrative contact with the Appalachian Studies Program would create a lot of synergy and advance our educational, research, and outreach missions considerably. There is no added cost from this move, and I would expect significant increase in synergy.

Please let me know if you have any questions.

Thanks,

Swamy



November 1, 2011

Provost Kumble Subbaswamy Office of the Provost 105 Main Building CAMPUS 0032

Dear Provost Subbaswamy,

On behalf of the faculty affiliated with the Appalachian Center, the Director of the Appalachian Center has respectfully requested the administrative home of the Appalachian Center be transferred from the Office of the Provost to the College of Arts and Sciences.

Sincerely,

Mark Lawrence Kornbluh

Dean

MLK:akh

cc: Jim Tracy, Vice President for Research

Kirsten Turner, Chief of Staff, College of Arts and Sciences

Kathleen Turner, Director of Finance, College of Arts and Sciences

Ann Kingsolver, Director, Appalachian Center





November 14, 2011

624 Maxwelton Court Lexington, KY 40506-0347 859 257-4852 fax 859 257-3903

www.appidachiancenterorg

Provost Kumble Subbaswamy
Office of the Provost
105 Main Building
University of Kentucky

Dear Provost Subbaswamy:

As Dean Kornbluh has mentioned to you, we would like to request that the Appalachian Center be moved administratively from Research to Arts & Sciences, although we would like to state quite emphatically that its mission will continue to be to serve the entire UK community. I have rewritten the mission statement for the Appalachian Center, which we are using provisionally at this time, to read in this way:

The University of Kentucky Appalachian Center emphasizes community-university partnerships in shaping research that is useful in and beyond the region, in keeping with the land grant mission of the University. Our role is to facilitate stronger connections between student and faculty researchers from across all colleges at the University of Kentucky whose teaching, research, learning and outreach includes a focus on Appalachia. We also work to strengthen relationships between colleges and universities in the Appalachian region, and between communities in the 54 Appalachian counties of Kentucky and university partners in collaborative projects documenting and addressing the region's particular contributions and challenges in a global context.

We are aware that the University Senate will need to be consulted about this change, and that centers may not directly administer academic programs, so the Appalachian Studies Program (which has always been housed in Arts & Sciences) and the Appalachian Center will continue to function in separate, if complementary ways. We know that you will need to take the proposal to move the Appalachian Center administratively to Arts & Sciences before the University Senate (under GR IV.C.5) and the Board of Trustees (under GR VII). Since I have come to UK as director of both the Appalachian Center and Appalachian Studies in 2011, it has been evident that there are a number of advantages of making sure that the teaching, research, and engagement missions of UK in relation to the Appalachian region are coordinated closely. Student

learning opportunities are enhanced by knowing what research projects are underway in the university with community partners, and those research programs can be strengthened through student participation. An example of this is our having learned about a need researchers have for economic data to be mapped more clearly and our suggesting to new faculty member Matthew Wilson, in Geography, that the students in his participatory GIS course in the spring could use those data for a class project and make them available to researchers and community partners.

There are many such opportunities for the Appalachian Center to facilitate better connections between UK's research, teaching, and engagement efforts. Through Appalachian Studies, for example, Dr. Jenrose Fitzgerald is offering a course on Energy in Appalachia in the spring. She is a Visiting Scholar this year at the Appalachian Center (where she was formerly an NSF postdoctoral fellow), and the Appalachian Center is sponsoring her creation of a citizen's guide to energy that will help residents of Appalachia have access, in lay terms, to scientific research produced at UK and elsewhere, so that they can better understand current energy debates in the region. She is being very inclusive of all perspectives in that energy guide. We are also sponsoring an Appalachian Forum in February with university researchers and state government representatives on the science and policy related to hydraulic fracturing as a technique of natural gas extraction, since that is an issue of great current interest in the region and it is crucial that scientific information be available to the community so that informed decisions can be made. This is an example of how the Appalachian Center can contribute to both the energy and engagement initiatives of the University.

Since I joined UK in July, we in the Appalachian Center have served as a resource for community organizations in the Appalachian region working on a variety of topics and we have either provided resources for (often for proposal preparation), or collaborated with, students and faculty researchers in the Colleges of Agriculture, Arts and Sciences, Business and Economics, Education, Fine Arts, Health Sciences, Libraries, Medicine, Nursing, and Public Health, and we plan to serve all of the colleges. We have worked closely with the Robinson Scholars Program to promote retention of undergraduate students from Appalachian Kentucky, and plan to work closely with the College of Undergraduate Studies on programs for recruitment and retention of students from the region more broadly. We work closely with the Center for Clinical and Translational Science, and have referred researchers and community members to the CCTS so that their services can be better known. Our aim, at the Appalachian Center, is to help minimize duplication and amplify use of resources and ongoing research, teaching, and engagement efforts of the University in the region. There is a strong need for better coordination of information about engaged research and learning underway and opportunities for collaboration in the Appalachian region (sometimes we find that researchers in the same unit are unaware of one another's projects, and of

collaborations UK already has underway in sites they are considering for research); we are attempting to fulfill that clearinghouse role so that those in the region and across the university can better find information about what is being done and what needs to be done in Appalachian Kentucky. We are especially situated (since we are in conversation with many community organizations, as well as university units) to enhance the engagement mission of the University, and I am working with Phil Greasley and others toward that end.

I would like to suggest that the Advisory Committee for the Appalachian Center be comprised of all the Deans of the University who would like to serve on it, along with Associate Provost Greasley.

Please let me know if I can provide any further information that might be helpful as you take this to the University Senate for consideration.

Sincerely,

Ann Kingsolver, Director

Appalachian Center and Appalachian Studies Program

**Professor of Anthropology** 

cc:

Mark Kornbluh, Dean, Arts & Sciences

Jim Tracy, Vice President for Research

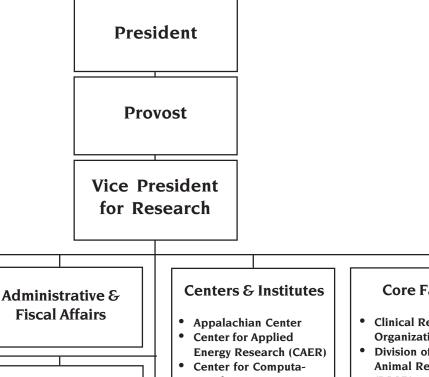
Richard Greissman, Assistant Provost

√Hollie Swanson, Chair, University Senate

Theodore Schatzki, Senior Associate Dean, College of Arts & Sciences

Kirsten Turner, Assistant Dean for Academic Planning and Chief of Staff, College of Arts & Sciences

Kathleen Harman, Director of Finance, College of Arts and Sciences



**Attending** Veterinarian

**University of** 

**Kentucky Research** 

Foundation (UKRF)

**Federal Relations** 

Information Services

**Proposal Development Office** (PDO)

Research Communications/ Odyssey

Research **Integrity (ORI)** 

**Sponsored Projects Administration** (OSPA)

**Survey Research** Center

- tional Sciences
- Center for Research on **Violence Against Women**
- **Center for Structural Biology**
- **Center of Membrane Sciences**
- **Human Development** Institute (HDI)
- **Kentucky Geological** Survey (KGS)
- **Kentucky Water Resources Research** Institute (KWRRI)

#### **Core Facilities**

- **Clinical Research Organization (UKCRO)**
- **Division of Laboratory Animal Resources** (DLAR)
- **Flow Cytometry Core Facility**
- **Imaging Facility**
- **Magnetic Resonance Imaging and Spectros**copy Center (MRISC)
- **Mass Spectrometry Facility**
- **Microarray Core Facility**
- **Proteomics Core Facility**
- **Transgenic Mouse Facility**

Responses to questions from the SAOSC regarding the administrative move of the Appalachian Center within UK:

1. Describe the organization of the current structure and how the proposed structure will be different and better.

I am attaching a pdf of the organizational chart showing where the Appalachian Center was positioned within the reporting structure of UK before the summer of 2011. It is now positioned under the Provost with other academic programs. This is the administrative change the faculty senate will be considering. Although the Appalachian Center joins the Appalachian Studies Program in being positioned administratively within the College of Arts and Sciences, reporting to Dean Mark Kornbluh, it very clearly serves all colleges at UK. The Dean will convene an advisory council of all other deans who have an interest in the Appalachian Center, to meet at least once a year in order to be apprised of programming in the Appalachian Center and to have input into how it can best serve the faculty and students of all the colleges at UK.

Your committee already has documentation from the Provost showing approval of this administrative move, and from several deans who support the move and who are willing to serve in an advisory capacity to the Appalachian Center.

It is important to note here that the administrative move of the Appalachian Center was in response to a survey of the UK Appalachian Studies faculty in 2010. The top goal listed by the faculty in moving toward the future was to reunite the Appalachian Studies Program and the Appalachian Center under the Provost (rather than having the Appalachian Center be housed under the Vice President for Research) so the academic mission of both could be strengthened. This was also the emphatic recommendation of the committee writing the periodic review of the Appalachian Center in October 2009. The membership of that review committee was primarily UK faculty: Lynne Hall, faculty, College of Nursing, UK (chair); Ron Pen, faculty, College of Music, UK; Lori Garkovich, faculty, College of Agriculture, UK; Al Cross, faculty, School of Journalism and Telecommunications, UK; and Chad Berry, Director, Appalachian Center, Berea College.

In addition to the advisory council of deans convened by Dean Kornbluh, the Appalachian Center has faculty governance through a committee of the whole of the Appalachian Studies Program faculty, representing eight colleges at UK (and still growing). Here is a list of the current Appalachian Studies faculty, and their affiliations:

# Appalachian Studies Faculty Members 2011-12

Anglin, Mary Anthropology
Badagliacco, Joanna Sociology
Bell, Shannon Sociology
Billings, Dwight Sociology

Black, Kate Library Special Collections (Appalachian archivist)

Brunn, Stan Geography
Cramer, Jennifer English
Cross, Al Journalism

Davis, Alison Agricultural Economics

Deffendall, Matthew Undergraduate Education (First Scholars Program)

Ditsch, David Plant & Soil Sciences (Director, Robinson Center for Appalachian

Resource Sustainability, Quicksand, KY)

DeYoung, Alan Educational Policy Studies & Evaluation

Eller, Ronald History

Fitzgerald, Jenrose Appalachian Studies (former NSF postdoctoral fellow; current PTI)

Guzman, Marcelo Chemistry

Hahn, Ellen Nursing Instruction

Harris, Rosalind Sociology Hougland, Jim Sociology

Hutchens, Kelli Undergraduate Education (First Scholars Program)

Jensen, Jane Educational Policy Studies & Evaluation Kercsmar, Sarah Center for Instructional Communication

Kingsolver, Ann Anthropology (Director, Appalachian Center & Appalachian Studies

Program)

Mason, Carol Gender & Women's Studies

Norman, Gurney English
Pen, Ron Music
Raitz, Karl Geography
Reece, Erik English
Schein, Richard Geography

Schoenberg, Nancy Behavioral Science

Scott, Shaunna Sociology

Spradling, Jeff Director, Robinson Scholars Program

Stamper, Charles Extension Field Programs
Tice, Karen Gender & Women's Studies

Vanderpool, Robin Health Behavior

Walker, Frank English

Wilson, Matthew Geography Yanarella, Ernest Political Science

Yeager, Kevin Earth & Environmental Sciences

2. Discuss leadership and selection process for appointing a chair, a director, or interim leader and search process, etc.

This already happened, in this administrative transition. The Provost and the Vice President of Research agreed to move the Appalachian Center administratively to the College of Arts and Sciences, and the Dean of Arts and Sciences appointed a faculty committee from among the Appalachian Studies Program faculty to serve as the search committee for a new director of both the Appalachian Center and the Appalachian Studies Program. This proposal reunites them, as did the faculty-governed search for a new director. The search committee was chaired by

Dwight Billings, Sociology, and the other members of the search committee were Frank X. Walker (English, A&S), Rosalind Harris (Community and Leadership Development, Agriculture), Ron Eller (History, A&S), and Kate Black (Libraries). In the future, when there are searches for either a combined director or directors of the Appalachian Center and Appalachian Studies Program, these will also be faculty committees comprised of Appalachian Studies faculty members.

3.& 4. What is the function of the faculty/staff associated with the proposed change and how is that relationship defined? What is the arrangement of the faculty/staff associated with the proposed change and how is that relationship defined?

The Appalachian Studies Program has a faculty, and has always been situated in the College of Arts and Sciences. All instruction is through the Appalachian Studies Program. This proposal concerns the Appalachian Center. A faculty member serves as director, and the DOE is now worked out with the Dean of Arts and Sciences. At the recommendation of the 2009 review committee mentioned above, the director's DOE is 10% teaching, 20-25% research, and the remainder is administration. The Appalachian Center does host postdoctoral fellows and PTIs, and they have voting rights as members of the Appalachian Studies Program faculty, which in turn guides the work of the Appalachian Center.

The Appalachian Center has a staff, although those positions have been cut in the past three years. Currently, there is a Staff Supportperson, Pam Webb, and a Program Coordinator, Shane Barton (who had been a STEPS employee under the former director and whose position is now formalized with the Appalachian Center). There was a STEPS employee doing communications work for the Appalachian Center, but there is not a need currently for a permanent communications director because those functions have been taken on by the College of Arts and Sciences through the "HIVE". The Appalachian Center budget supports a graduate research assistantship, and a proposal has been submitted to host a VISTA position for someone serving as an internship coordinator. International postdoctoral scholars will also be hosted each year in the Appalachian Center, supporting the global comparative research and instructional mission of Appalachian Studies. (The Appalachian Center will host a Global Mountain Regions conference in October 2012, using Appalachian Center staff to support the faculty-driven scholarly agenda of the Appalachian Studies Program.)

5. The proposal should document any votes and departmental or school committee votes as appropriate leading up to this point in the process. A Chair or Dean may appropriately summarize supporting and opposing viewpoints expressed during faculty discussions.

As Dean Kornbluh conveyed in his report to your committee, the Appalachian Studies faculty requested this change, and voted to make it happen. In February 2010, at a faculty retreat convened by the Appalachian Center, the Appalachian Studies faculty voted 12-0 to "place the Appalachian Center administratively within the Office of the Provost in recognizing that the Center's mission reflects the tripartite mission of the university: instruction, research, and service."

6. How does this change better position the proposers relative to state and national peers, as well as University Benchmark Institutions? How does the change help UK meet Top 20 goals?

The Appalachian Center is smaller and less well funded than other Appalachian Centers in Kentucky. There are vibrant Appalachian Centers at Berea College, Eastern Kentucky University, and Southeast KY Community and Technical College, for example. The review committee in 2009 noted that the Appalachian Center at UK had drifted away from being in strong communication with other centers in the region and from serving the land grant mission of UK in eastern KY. Reuniting the Appalachian Center with the Appalachian Studies Program at UK (often referred to as the top-ranking scholarly program in Appalachian Studies in the nation) enables the Appalachian Center to take its expected role of leadership in engaged scholarship in the region because the faculty, graduate, and undergraduate students can be more integrated into the research and engagement work at the core of the mission of the Appalachian Center. In the first year of the reunification of the two, the Appalachian Studies faculty has grown to include a much wider membership and representation from more colleges at UK, which strengthens the interdisciplinary work of both the Program and the Center. Undergraduate and graduate certificate program proposals in Appalachian Studies have been approved by the faculty and are on their way through the UK approval process. The certificates both include an engagement requirement, which can be facilitated by the Appalachian Center; the Center has a new internship program placing UK students with community organizations in the 54 counties of Appalachian KY.

Please do not hesitate to contact me if I can provide any further information to be of use as you consider this proposal to move the Appalachian Center administratively within UK.

Ann Kingsolver, Director, Appalachian Center and Appalachian Studies Program Professor of Anthropology
University of Kentucky
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